No Transcriptional Compensation for Extreme Gene Dosage Imbalance in Fragmented Bacterial Endosymbionts of Cicadas

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Abstract

Bacteria that form long-term intracellular associations with host cells lose many genes, a process that often results in tiny, gene-dense, and stable genomes. Paradoxically, the some of the same evolutionary processes that drive genome reduction and simplification may also cause genome expansion and complexification. A bacterial endosymbiont of cicadas, *Hodgkinia cicadicola*, exemplifies this paradox. In many cicada species, a single *Hodgkinia* lineage with a tiny, gene-dense genome has split into several interdependent cell and genome lineages. Each new *Hodgkinia* lineage encodes a unique subset of the ancestral unsplit genome in a complementary way, such that the collective gene contents of all lineages match the total found in the ancestral single genome. This splitting creates genetically distinct *Hodgkinia* cells that must function together to carry out basic cellular processes. It also creates a gene dosage problem where some genes are encoded by only a small fraction of cells while others are much more abundant. Here, by sequencing DNA and RNA of *Hodgkinia* from different cicada species with different amounts of splitting—along with its structurally stable, unsplit partner endosymbiont *Sulcia muelleri*—we show that *Hodgkinia* does not transcriptionally compensate to rescue the wildly unbalanced gene and genome ratios that result from lineage splitting. We also find that *Hodgkinia* has a reduced capacity for basic transcriptional control independent of the splitting process. Our findings reveal another layer of degeneration further pushing the limits of canonical molecular and cell biology in *Hodgkinia* and may partially explain its propensity to go extinct through symbiont replacement.

Key words: endosymbionts, transcriptomics, gene dosage, cicadas, genome evolution, nonadaptive evolution.

Significance

Many cicadas host two bacterial endosymbionts, *Hodgkinia* and *Sulcia*, which produce essential amino acids missing from the insect's xylem sap diet. Following 100+ million years of strict host-association, both bacteria have lost many genes and possess extremely tiny genomes. In some cicadas, *Hodgkinia* has split into multiple cell lineages, distributing its genes, with little respect to their function, among separate lineages present at (sometimes wildly) different abundances. We find no transcriptional response to genome fragmentation in *Hodgkinia*: mRNA abundance reflects gene abundance. We also find less overall control of transcription in *Hodgkinia* compared to *Sulcia*. *Hodgkinia*'s transcriptome seems to reflect a bacterium on the edge of existence, and raises questions about how multilineage *Hodgkinia* remain functional.

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Introduction

Vertically transmitted bacterial endosymbionts that form very stable and long-term association with host cells, including the ancestors of mitochondria and plastids, can lose most of the genes originally encoded by their freeliving ancestors (Andersson and Kurland 1998; Green 2011; Gray 2012). Endosymbiont genomes are often small in size, stable in structure, and densely packed with a core set of functional genes (Boore 1999; Tamas et al. 2002; McCutcheon and Moran 2011; Graf et al. 2021). While such tiny, stable, and gene-dense endosymbiont genomes have evolved again and again in diverse host lineages, some endosymbiont and organelle genomes have secondarily become unstable, expanding in size through the accumulation or proliferation of non-coding and nonfunctional DNA. The cicada endosymbiont Candidatus Hodgkinia cicadicola (hereafter, Hodgkinia) and the mitochondria of some sucking lice and flowering plants have all evolved multichromosomal genomes several times larger than those of closely related lineages despite virtually no change to their overall gene repertoire (Shao et al. 2012; Sloan et al. 2012; Campbell et al. 2015; Campbell et al. 2017). In the case of Hodgkinia—and in contrast to mitochondria, where different chromosomes are mixed together throughout the mitochondrial compartments of a cell-genome fragmentation occurs in parallel with cellular diversification such that the total gene set is divided among distinct Hodgkinia cell populations which are present at different relative abundances in the host (Van Leuven et al. 2014; Łukasik et al. 2018). As a result, genes critical both to Hodgkinia's symbiotic role in nutrient biosynthesis along with genes central to basic bacterial cell function can differ in abundance by orders of magnitude within the same insect. This gene dosage problem raises the question of whether complex Hodgkinia can correct for large differences in gene abundance in some way, for example through transcriptional up-regulation of lowly abundant genes (Campbell et al. 2015; Łukasik et al. 2018).

The Hodgkinia genome has the expected single circularmapping chromosome structure in many cicadas (McCutcheon et al. 2009b; Van Leuven et al. 2014; Łukasik et al. 2018). In some cicadas, however, Hodgkinia has independently undergone varying degrees of genome fragmentation via cell lineage splitting (Łukasik et al. 2018; Campbell et al. 2017). Compared to the unsplit ancestral genome, individual split genomic lineages lack functional copies of many essential genes, but these losses occur in a complementary fashion such that the unsplit gene set is maintained at the level of the total Hodgkinia population in each cicada (Van Leuven et al. 2014; Łukasik et al. 2018). The complementary genome erosion of each lineage enforces transmission of all Hodgkinia genomes to the subsequent host generation, resulting in an expansion of the total *Hodgkinia* genome from the perspective of the host (Campbell et al. 2015). In extreme cases, this splitting process results in genome complexes consisting of at least a dozen lineages and totaling over 1.5 Mb in length, a more than tenfold increase in genome size relative to single-lineage *Hodgkinia* genomes (Campbell et al. 2017). Importantly, comparisons between these largest *Hodgkinia* complexes show extreme variation in splitting outcomes with respect to the size and gene content of their constituent genomes, which suggests that splitting does not converge on a particular endpoint or optimum (Campbell et al. 2017).

While splitting results in an expansion of the total unique Hodgkinia genome found in each cicada, each individual genome lineage experiences only gene loss and genome reduction. Lineage splitting can therefore only decrease the overall abundance of functional Hodgkinia genes in the system, dependent on how many and which genome(s) a given gene resides. Importantly, gene products of even the mostly lowly abundant Hodgkinia genes must be shared among all lineages in a given host to preserve their collective function. While we have shown by in situ hybridization that Hodgkinia genomes and ribosomal RNAs are contained by their respective cell boundaries, the biochemistry of these cells must somehow behave as though these boundaries do not exist or are easily crossed (Campbell et al. 2015; Łukasik et al. 2018). Genomics shows that many Hodgkinia genes within the same biochemical pathway have differential gene dosages that would result in 10- or 100-fold disruptions in pathway stoichiometry if left uncorrected. These differences are well in excess of those associated with dosage sensitivity and haploinsufficiency in eukaryotes and could introduce choke points in the enzyme kinetics of essential processes like nutrient biosynthesis (Papp et al. 2003; Morril and Amon 2019). These dosage disruptions also far exceed the modest several-fold capacity for gene-specific transcriptional tuning exhibited by some insect endosymbionts in response to changes in their hosts' nutrition or developmental stage (Moran et al. 2005a, Stoll et al. 2009; Wilcox et al. 2003). Nevertheless, endosymbionts such as Hodgkinia remain able to somewhat regulate the expression of RNAs and proteins at a relative level within a genome, because transcripts such as those from rRNA, tRNA, RNase PRNA, and protein chaperones are more abundant than most other transcripts (Wilcox et al. 2003; Van Leuven et al. 2019; Husnik et al. 2020). It is therefore possible that some baseline level of constitutive gene expression control remains in Hodgkinia.

Hodgkinia's predisposition to splitting may owe in part to its high rate of sequence evolution, a feature also observed in the huge, fragmented mitochondrial genomes of the angiosperms *Silene conica* and *Silene noctiflora* (Sloan et al. 2012; Van Leuven et al. 2014). This is contrasted by the roughly 50–100 times lower nucleotide substitution rate exhibited by *Hodgkinia*'s partner endosymbiont, *Candidatus* Sulcia muelleri (hereafter, *Sulcia*) (Van Leuven et al. 2014). While *Hodgkinia* genomes are structurally unstable and vary widely in size, *Sulcia* tends to be much more stable. Following at least 250 million years of strict host-association, *Sulcia* genomes from distantly related hosts show almost perfect gene co-linearity and very similar gene sets (Moran et al. 2005b; Bennett and Moran 2015) [although a broader sampling of Auchenorrhynchan insects shows that several genomic inversions have occurred in different *Sulcia* lineages (Deng et al. 2022)]. Likewise, while several cicada groups have replaced *Hodgkinia* with fungal endosymbionts, *Sulcia* is retained in every cicada species examined to date (Matsuura et al. 2018; Wang et al. 2022b).

Given the diversity of *Hodgkinia* genome size and organization and the relative structural stasis of *Sulcia* genomes in cicadas, this system constitutes an elegant natural experiment for evaluating the downstream transcriptional consequences of wild swings in gene dosage resulting from endosymbiont genome instability. To characterize the transcriptional activity of *Hodgkinia* and *Sulcia* genomes relative to their genomic abundance, we sequenced DNA and RNA from the symbiotic organs of 18 cicadas representing six species encompassing a spectrum of *Hodgkinia* complexity. We find that *Hodgkinia* exerts limited transcriptional control compared to *Sulcia* and is unable to transcriptionally compensate for the massive effect of gene dosage imbalance that is produced by lineage splitting.

Results

In the absence of lineage splitting, we assume each Hodgkinia cell contributes equally to the total abundance of each Hodgkinia transcript (fig. 1A). Following splitting and differential gene loss, some transcripts can only be produced by a (sometimes very small) subset of Hodgkinia cells. We evaluated four different hypotheses, two adaptive and two nonadaptive, for how Hodgkinia may or may not compensate at the transcriptional level for the gene dosage imbalances that result from splitting and differential gene loss: an adaptive response of nonspecific, constitutive transcriptional up-regulation to bring transcripts of lowabundance genes to some threshold level ("overcompensation," fig. 1B); a specific adaptive response where lowly abundant genes are upregulated to rescue presplitting transcript abundances ("complementation," fig. 1C); a nonresponse, where each gene is transcribed at its presplitting levels in each cell irrespective of its relative abundance ("subdivision," fig. 1C); and a response of further regulatory decay, where noncompensatory changes to transcription are introduced as a side-effect of splitting and genome erosion ("disruption," fig. 1D). To look for signatures of these outcomes across the spectrum of Hodgkinia complexity, we collected three individuals from single populations representing each of six different cicada species (table 1) and sequenced the metagenomes and metatranscriptomes of their dissected bacteriomes (endosymbiont-housing organs).

The multilineage Hodgkinia studied here all originated from independent splitting events (Campbell et al. 2017; Łukasik et al. 2018). Closed genomes are available for all relevant Hodgkinia lineages except in Magicicada septendecim, in which the Hodgkinia genome has been assembled into 39 circular molecules and 124 additional contigs. Similarly, closed genomes of all relevant Sulcia lineages are available, with the exception of Sulcia from M. septendecim. In this case, we used the genome of Sulcia from Magicicada tredecim, which is completely colinear with and over 99% identical to its counterpart in M. septendecim. We obtained between 18.7 and 44.4 million paired-end reads from the bacteriome metagenome libraries and between 43.6 and 181.7 million reads from the corresponding metatranscriptome libraries. Each of these libraries contains sequences derived from Hodgkinia, Sulcia, and the cicada host.

Cicada Endosymbionts Retain Different Degrees of Transcriptional Control

We began by examining transcription in the cicada endosymbionts in general. Relatively little work has characterized transcription in endosymbionts with extremely reduced genomes (Bennett and Chong 2017; Van Leuven et al. 2019; Wang et al. 2022a), but a comparative analysis of RNA polymerase genes suggests that some endosymbionts, including Hodgkinia, may have a limited capacity for promoter recognition (Rangel-Chávez et al. 2021). To compare the degree to which Hodgkinia and Sulcia can specifically transcribe coding DNA and can transcribe genes at different levels in line with biological expectations, we aligned stranded bacteriome mRNA-seg reads from each cicada species to the corresponding Sulcia (fig. 2A-B; supplementary fig. S1, Supplementary Material online) and Hodgkinia (fig. 2C-D; supplementary figs. S2-S5, Supplementary Material online) reference genomes and visualized per-base coverage across each chromosome. We obtained >1X coverage of the vast majority of genomic regions, except for some of the small, unplaced Hodgkinia contigs in M. septendecim. In both endosymbionts and across host species, patterns of coverage were highly consistent among biological replicates (fig. 2; supplementary figs. S1-S5, Supplementary Material online). In the case of Sulcia, we saw clear similarities between species in the relative transcription of different genes (fig. 2A-B; supplementary fig. S1, Supplementary Material online), while Hodgkinia was much more variable (fig. 2C-D; supplementary figs. S2–S5, Supplementary Material online).



Fig. 1.—Schematic of a *Hodgkinia* cell lineage split and its possible transcriptional outcomes. (*A*) In the absence of cell lineage splitting, *Hodgkinia* cells all contain the same genes (here *x*, *y*, and *z*) and contribute to their respective transcript abundances. Lineage splitting and complementary gene loss decrease the relative dosage (total supply) of genes that are lost in some cells. In this abstracted example, genes *x*, *y*, and *z* have relative postsplitting dosages of 1.0 (full dosage), 0.1, and 0.9, respectively. (*B*) If *Hodgkinia* cells increase transcription genome-wide, the transcript abundances will remain imbalanced but could reach some required threshold level for dosage-depleted genes. (*C*) If *Hodgkinia* cells do not change transcription in response to changes in gene dosage (i.e., each gene is transcribed at roughly its original level in each cell), transcript abundance of genes with reduced dosage will decrease. (*E*) If the processes of cell lineage splitting and/or gene loss intrinsically affect the transcription of certain genes, transcript abundances could change in unpredictable ways.

Table 1

Hodgkinia Genome Complexity and Abundance Ratios in a	ll Cicada
Species Sampled	

Cicada Species	Number of	Approximate	
	Hodgkinia	Genome	
	Lineages	Abundance	
		Distribution	
Diceroprocta near semicincta	1	100	
Tettigades ulnaria	1	100	
Tettigades undata	2	60:40	
Okanagana oregona	4	45:35:18:2	
Tettigades limbata	5	75:10:8:5:2	
Magicicada septendecim	12+	9:5:4:3:1:1:1	

Compared with *Hodgkinia*, *Sulcia* exhibited patterns of transcription that indicate a greater ability to terminate transcription. *Sulcia* exhibited clearly distinguishable peaks of high RNA coverage overlapping with annotated genes (inset of fig. 2*A*). In *Hodgkinia*, RNA coverage was often (but less consistently) high along annotated genes. However, rather than producing symmetrical peaks of coverage centered on annotated genes, transcription in *Hodgkinia* frequently continued past the ends of genes, gradually decreasing until another peak of RNA coverage began. For example, the RNase P RNA gene is transcribed at high levels in *Hodgkinia* from both *Diceroprocta* near *semicincta* and *Tettigades ulnaria* (fig. 2*C* and *D*), but the corresponding peak in RNA-seq coverage continues for



Fig. 2.—Strand-specific, per-base RNA-seq coverage along the chromosomes of *Sulcia* (A–B) and *Hodgkinia* (C–D) from *D*. near *semicincta* and *T*. *ulnaria*. Rectangles in the central track of each plot represent annotated genes and are colored according to functional categories. Positive and negative Y axes correspond to coverage of unfiltered RNA-seq reads derived from the plus and minus strands of each chromosome, respectively. For each plot, coverage profiles from each biological replicate (translucent gray) are overlaid along the same axes. Panels A and B represent alignments downsampled to approximately 3500X mean coverage of the *Sulcia* genome and are cropped at approximately $y = \pm 20,000$. Panels C and D represent alignments downsampled to approximately 450X mean coverage of the *Hodgkinia* genome and are cropped at approximately $y = \pm 2000$. Antisense counts as a percentage of sense + antisense counts are shown for each genome.

several times the length of the functional RNA and into an intergenic region (inset of fig. 2*C*). Unlike in protein-coding genes, several of which show similar "run-on" transcriptional profiles in *Hodgkinia*, the transcriptional read-through at this locus cannot be explained or resolved by termination at the level of translation.

The Hodgkinia and Sulcia lineages sampled often appeared to highly transcribe chaperone genes (groS, groL, dnaJ, and dnaK; fig. 2, gold stars). This is consistent with published endosymbiont transcriptomes (Stoll et al. 2009; Luck et al. 2015; Medina Munoz et al. 2017) and with proteomic data showing that chaperones are among the most abundant proteins in endosymbionts (Charles et al. 1997; McCutcheon et al. 2009a, 2009b; Poliakov et al. 2011).

To evaluate transcriptional control more quantitatively, we calculated levels of antisense transcription in Hodgkinia and Sulcia from each cicada species. To do this, we first used the transcript quantification tool FADU (Feature Aggregate Depth Utility) to obtain transcript counts for functional genes in Sulcia and Hodgkinia (excluding tRNA and rRNA genes) (Chung et al. 2021). We then repeated this step for antisense transcription by deliberately specifying the opposite strand orientation for our libraries such that FADU output counted alignments to the strand opposite each open reading frame (Srinivasan et al. 2020). Hodgkinia had a higher proportion of antisense counts than its coresident Sulcia in every biological replicate from each cicada species (supplementary table S2, Supplementary Material online, supplementary figs. S1-S5, Supplementary Material online). Hodgkinia from D. near semicincta, which has experienced no genome fragmentation, stood out in this regard with between 44% and 49% antisense transcripts compared to just 13-16% in its coresident Sulcia (fig. 2A and C).

Taken together, these data show an overall loss of transcriptional control in *Hodgkinia* compared to *Sulcia* (Supplementary figs. S1–S5, Supplementary Material online), and provide the first indirect hint that dosage compensation at the level of *Hodgkinia* transcription is unlikely to be occurring in these symbioses.

Complex Hodgkinia Produce RNA in Proportion to Their Cell Abundance

Under our first hypothesized adaptive scenario, complex *Hodgkinia* could rescue the transcript abundances of lowcopy genes through a general increase in mRNA synthesis (overcompensation, fig. 1*B*), potentially resembling the high transcript abundances observed in plant organelles (Forsythe et al. 2022) or in the reduced nucleomorph genomes of certain green algae (Tanifuji et al. 2014). We determined the relative contributions of *Hodgkinia* and *Sulcia*-derived DNA and mRNA to the sequencing libraries from each specimen by filtering out any remaining ribosomal RNA sequences, mapping each set of filtered reads to the corresponding endosymbiont genomes, and calculating the coverage of each genome as a proportion of all filtered reads (fig. 3). We have already shown that *Hodgkinia* genome coverage is a good proxy for cell abundance (Van Leuven et al. 2014; Campbell et al. 2018; Łukasik et al. 2018). The DNA abundance of *Sulcia* was consistently higher than that of *Hodgkinia* except in the *M. septendecim* samples, which also had the highest overall *Hodgkinia* DNA abundance.

Compared to the DNA libraries, the RNA libraries generally contained more endosymbiont-derived reads. In an overcompensation scenario, complex Hodgkinia would be expected to produce a greater ratio of RNA:DNA coverage than their coresident Sulcia. While Hodgkinia from Tettigades undata showed patterns of coverage potentially consistent with overcompensation in all three biological replicates (e.g., specimen A showed 3% Hodgkinia coverage in DNA reads but 23% coverage in RNA reads), we did not observe this pattern in any of the other multilineage Hodgkinia examined. In the samples representing the most extreme level of splitting, Hodgkinia from M. septendecim, where overcompensation might be expected to be the most obvious, RNA coverage was actually underrepresented relative to its DNA abundance (e.g., specimen C showed 21% Hodgkinia coverage in DNA reads but only 10% coverage in RNA reads). This decrease in relative RNA abundance was not an artifact of rRNA depletion being less effective in certain RNA-seq libraries, as the trends we observed in total RNA coverage fractions hold even when rRNA is not removed bioinformatically (supplementary fig. S6, Supplementary Material online).

One sample from T. ulnaria contained very little Hodgkinia material and was excluded from any other Hodgkinia-based analysis (marked with an asterisk in fig. 3). While Hodgkinia and Sulcia are spatially separated within the bacteriome, it is unlikely that Hodgkinia was excluded due to a dissection error (Van Leuven et al. 2014; Campbell et al. 2015; Łukasik et al. 2018). The lack of Hodgkinia material might instead suggest that this sample originated from a slightly older, senescent individual (Kono et al. 2008; Vigneron et al. 2014; Simonet et al. 2018). A sample from M. septendecim produced very little endosymbiont-derived RNA coverage in general, with Hodgkinia and Sulcia collectively contributing less than 0.1%, and was excluded from all other analysis (marked with two asterisks in fig. 3). Because cicadas live underground for most of their lives, only emerge once a year (at most), and are difficult to catch, we were unable to add new samples to replace these lost data points.

Gene Dosage Depletion Reshapes the Hodgkinia Transcriptome

Under a complementation scenario (fig. 1C), the distribution of transcript abundances in the total *Hodgkinia*



Fig. 3.—Proportional contributions of *Hodgkinia* and *Sulcia* to the total (*A*) DNA and (*B*) RNA sequencing coverage, shown for triplicate biological replicates of each cicada species examined. Reads mapping to the *Hodgkinia* and *Sulcia* rRNA genes for each cicada were removed before the calculation of RNA coverage. *T. ulnaria* specimen C (one asterisk) lacked *Hodgkinia* DNA and RNA and was excluded from subsequent *Hodgkinia*-based analyses. *M. septendecim* specimen B (two asterisks) lacked RNA coverage from either endosymbiont and was excluded from all further analyses.

population (i.e., from the perspective of the insect host) would be similar between single-lineage and complex Hodgkinia. This could occur if, for example, Hodgkinia transcriptional machinery had evolved a greater affinity for sequences associated with lowly abundant genes or chromosomes (Veita et al. 2013). Conversely, in the absence of complementation, genes that are present in fewer copies in the Hodgkinia population following splitting would be represented by fewer transcripts than more abundant genes. To distinguish these two outcomes, we compared relative gene dosage with total transcript abundance in each Hodgkinia system. In each biological replicate, we defined the dosage or abundance of a gene as the percentage of Hodgkinia DNA sequencing coverage contributed by Hodgkinia contigs that contain the gene (Supplementary table S1, Supplementary Material online). Similarly, we measured the total transcript abundance of a gene as the summed transcripts per million (TPM) of each distinct copy of the gene in a Hodgkinia complex (Li and Dewey 2011; Wagner et al. 2012).

The TPM distributions from single-lineage Hodgkinia systems (D. near semicincta and T. ulnaria, fig. 4A and B) showed relatively consistent shapes among biological replicates but differed slightly in the spread between the two host species, comparable to the between host variation in Sulcia TPM distributions (fig. 4G-L). The TPM distributions from T. undata, hosting two Hodgkinia lineages, were similar in shape but showed a clear biforcation based on gene dosage with genes at full dosage corresponding to the upper half of the distributions and genes at 40-60% relative dosage corresponding to the lower half (fig. 4C). Compared to these three species, the TPM distributions from the more fragmented Hodgkinia of Okanagana oregona and Tettigades limbata showed relatively more genes at their extreme low ends (fig. 4D-E), and most of these genes had relative dosages of less than 20% in these cicadas. This was not the case in the highly fragmented Hodgkinia of M. septendecim in which all genes are far from maximal dosage. Instead, its TPM distributions showed uniquely high dispersion with relatively few values



Fig. 4.—Endosymbiont gene dosage and gene expression from the host perspective in symbiotic systems of varying complexity. (A–F) Distribution of log₁₀ TPM expression levels of *Hodgkinia* genes from each cicada specimen examined. Points represent the summed TPM of all copies of a gene. Points are colored according to their relative dosage. To make differences in gene dosage visible in *M. septendecim*, gene dosages for all specimens were converted to standard deviations above or below the specimen's average *Hodgkinia* gene dosage (Z transformation). (G–L) Distribution of log₁₀ TPM expression levels of *Sulcia* genes from each cicada specimen examined. (M–P) Total log₁₀ TPM expression levels (y-axis) of *Hodgkinia* genes of different relative dosage (x-axis, log₁₀ scale) in *Hodgkinia* systems of varying complexity. TPM and relative dosage values are averages of values from each biological replicate. For each comparison, Kendall's rank correlation coefficient τ as well as the test statistic (Z) and P-value for hypothesis tests of rank correlation are given, showing a significant positive correlation between relative *Hodgkinia* gene dosage and transcript abundance in all four species.

concentrated around the average (fig. 4*F*). Such differences in the shape of the TPM distribution were specific to *Hodgkinia*: they were not observed in their coresident *Sulcia* lineages (fig. 4*G*–*L*).

In all cicada species with split *Hodgkinia*, we found a significant positive correlation between relative gene dosage and total TPM at a significance threshold of $\alpha = 0.05$ (Kendall's rank correlation, P < 0.0001 for all four comparisons, fig. 4M–P). In other words, *Hodgkinia* genes that had greater dosage at the genomic level in each cicada tended to be represented by a greater number of transcripts. The strength of the relationship, given by Kendall's τ , was similar across host species, ranging from 0.44 in *M. septendecim* to 0.58 in *O. oregona*.

This correspondence between total gene and transcript abundances in multilineage Hodgkinia is inconsistent with complementation. However, complementary changes in transcription could still exist, even if they do not overcome the influence of gene dosage altogether. We tested for celllevel complementary responses to differences in total gene supply using semipartial Kendall rank correlations. Semipartial correlations allowed us to characterize the relationship between the TPM abundance of all distinct Hodgkinia gene copies and their relative dosage from the host perspective while controlling for the effect of each gene copy's DNA abundance on its measured transcript abundance. Per-cell transcription was expected to be negatively correlated with relative gene dosage under a complementation scenario (fig. 1C) and not correlated under a subdivision scenario (fig. 1D). We found no significant correlation between cell abundance-controlled TPM and relative gene dosage in O. oregona (τ = 0.018, Z=0.44, P= 0.66), in *T. limbata* ($\tau = 0.053$, Z = 1.372, P = 0.17), or in *M.* septendecim ($\tau = 0.054$, Z = 1.268, P = 0.205) at a significance threshold of $\alpha = 0.05$. In *T. undata*, abundancecontrolled TPM and relative gene dosage were significantly positively correlated, indicating that genes encoded in only one of T. undata's two Hodgkinia cell lineages actually tended to have a lower per-cell expression ($\tau = 0.209$, Z = 5.071, *P* < 0.0001).

Hodgkinia Transcription Profiles Are Not Conserved Across Host Species

Having found no evidence for transcriptional compensation for the gene dosage outcomes resulting from *Hodgkinia* lineage splitting and reciprocal gene loss, we next asked whether gene expression patterns in the nonfragmented ancestral *Hodgkinia* transcriptome are conserved between species. We compared the log₁₀ TPM expression of homologous, protein-coding *Hodgkinia* and *Sulcia* genes between *D*. near semicincta and *T. ulnaria*, which both host a single *Hodgkinia* lineage (fig. 5A and *B*). Expression of Sulcia genes showed a strong linear correlation between the two host species (Pearson correlation: r = 0.932, t = 36.275, v = 198, P < 0.0001) while Hodgkinia gene expression was only weakly correlated (r = 0.186, t = 2.087, v = 121, P = 0.039). In addition to the strong biological contrast between these outcomes, the consistency of *Sulcia* transcriptional profiles across relatively distantly related host species gives us confidence that our RNA-seq data are of good overall quality and that the relatively noisy nature of the *Hodgkinia* data is not the result of technical artifacts.

Given the incongruence between transcript abundances in phylogenetically distant single-lineage *Hodgkinia*, we directed our focus to the genus *Tettigades*, from which we had sampled three different species, reasoning that *Hodgkinia* transcript abundances in *T. ulnaria* (which hosts a single *Hodgkinia* lineage) may approximate a presplitting "starting point" for this group and that some semblance of transcriptional control may persist in phylogenetically related lineages. Total TPM transcript abundances in multilineage *Hodgkinia* from *Tettigades* cicadas appear to deviate from this hypothetical starting point, even in the case of *T. undata*, which hosts only two different *Hodgkinia* lineages (fig. 5C).

Discussion

Hodgkinia Does Not Compensate for Transcriptional Consequences of Gene Dosage Imbalance

The process of splitting into multiple interdependent cell lineages combined with complementary gene loss has resulted in varied and sometimes extreme gene dosage outcomes for the Hodgkinia populations contained in each cicada (Campbell et al. 2017; Łukasik et al. 2018). We considered two possible outcomes that would reflect compensation for this change at the level of transcription: widespread overproduction of mRNA to guarantee sufficient transcript abundance (overcompensation, fig. 1B) and fine-tuned compensatory regulation to rescue the transcription levels of dosage-depleted genes (complementation, fig. 1C). Our analysis of genome relative abundance and transcription in Hodgkinia of multiple complexity levels shows that neither of these adaptive responses occurs. Rather, the transcriptional changes that occur in Hodgkinia composed of 2, 4, 5, and 12+ cell lineages consistently, strongly, and simply reflect the gene dosage of corresponding genes on their genomes.

Some form of compensation could, in principle, occur at the level of translation. This would presumably rely on factors external to *Hodgkinia*, particularly since the least abundant *Hodgkinia* cell lineages in the species examined here tend to encode relatively limited complements of translation-related genes compared to more abundant lineages (Campbell et al. 2017; Łukasik et al. 2018). Our



Fig. 5.—Differences in homologous gene expression between endosymbionts of different host species. Scatter plots show the correlation of relative expression levels (log₁₀ TPM) for homologous protein-coding genes in two symbionts, (*A*) single-lineage *Hodgkinia* and (*B*) *Sulcia*, between two distantly related cicadas: *D.* near *semicincta* and *T. ulnaria*. The Pearson correlation coefficient *r* and the *P*-value for a test of correlation are given. (*C*) Expression levels of homologous *Hodgkinia* genes in *T. ulnaria*, *T. undata*, and *T. limbata* are shown colored according to their TPM expression percentile in *T. ulnaria*, highlighting variation in *Hodgkinia* transcript abundances within the genus *Tettigades*. All TPM values are averages across biological replicates. TPM values in *T. undata* and *T. limbata* are

observations could also be affected by the age of the cicadas sampled, which, as fully grown adults nearing the ends of their lives, may no longer be as reliant on the proper functioning of their nutritional endosymbionts in one or both sexes. However, given the lack of conservation in *Hodgkinia* transcript abundance across cicada species, and the relative conservation we see in *Sulcia* transcription, we favor the idea that *Hodgkinia* simply tolerates the transcriptional consequences of gene dosage changes, even quite extreme ones. This is not to say that such changes are always selectively neutral in *Hodgkinia*. Given that our results are most consistent with a lack of transcriptional response in *Hodgkinia* after splitting, our finding that genes that had been lost in one of *T. undata*'s two *Hodgkinia* lineages had lower per-cell transcription could suggest that lineagespecific gene losses are more likely to be fixed when they occur in lowly-expressed genes. Additionally, while the dosage outcomes in highly complex *Hodgkinia* are not deterministic, some mechanism seems to favor the retention of certain *Hodgkinia* genes at a greater total abundance, and this is reflected in their transcript abundance (Campbell et al. 2017; Łukasik et al. 2018).

Basic Transcriptional Control Shows Signs of Erosion in Hodgkinia

The transcriptional machinery encoded by the bacterial endosymbionts with the tiniest genomes is extremely rudimentary (McCutcheon and Moran 2011). Despite this, we found evidence for at least some degree of transcriptional control in two such endosymbionts. All Sulcia and Hodgkinia transcriptomes produced more alignments to genes in the sense orientation than in the antisense orientation, suggesting that open reading frames are preferably transcribed over random positions on the opposite strand of DNA. We also observed consistently high chaperone gene expression in both endosymbionts, a recurring feature of endosymbiont transcriptomes thought to be of functional importance to the endosymbiotic lifestyle (Fares et al. 2002; Stoll et al. 2009; McCutcheon and Moran 2011; Luck et al. 2015; Medina Munoz et al. 2017). This occurs despite the loss of the *rpoH*-encoded σ_{32} heat shock sigma factor, which modulates the expression of chaperone genes in free-living bacteria (Neidhardt and VanBogelen 1981; Yamamori and Yura 1982; Grossman et al. 1987).

Across all six host species examined, Hodgkinia and Sulcia differed in two potential indicators of transcriptional control. First, we found that RNA-seq coverage declined predictably at gene ends in Sulcia while the high coverage typical of transcriptional start sites frequently extended past annotated genes in Hodgkinia, possibly indicating transcriptional read-through. The gene contents of these two endosymbionts point to a potential mechanistic explanation: Sulcia, unlike Hodgkinia, retains rho and its cofactor nusA, which have well-characterized roles in transcription termination (Schmidt and Chamberlin 1984; Richardson 2002; McCutcheon et al. 2009a, 2009b; Łukasik et al. 2018). Second, Hodgkinia endosymbionts showed consistently higher levels of antisense transcription than their coresident Sulcia, although this may simply be a reflection of increased transcriptional read-through at genes located adjacent to a gene on the opposite strand.

Surprisingly, the single-lineage *Hodgkinia* endosymbiont of *D*. near *semicincta* stood out in its apparent loss of transcriptional control, exhibiting a considerably higher proportion of antisense transcription than any other endosymbiont lineage we examined. The fact that antisense transcription in *Sulcia* from the *D*. near *semicincta* samples was not correspondingly high suggests that this effect is not a technical artifact. A previous comparative genomic analysis of endosymbiont RNA polymerases identified a deletion of seven amino acid residues long in the σ_3 subunit from *Hodgkinia* in a very closely related cicada species, D. semicincta, and predicted that this loss could impede recognition of an extended -10 box promoter element (Rangel-Chávez et al. 2021). Promoter elements have not been characterized in Hodgkinia or in other endosymbionts with tiny genomes, and we have similarly found no recognizable sequence motifs upstream of Hodgkinia or Sulcia start codons regardless of transcription level (supplementary figs. S7-S8, Supplementary Material online). However, we note that the rpoD gene in Hodgkinia from D. near semicincta, like D. semicincta, lacks this portion of the σ_3 subunit found in most other Hodgkinia genomes (supplementary fig. S9, Supplementary Material online), although similar deletions in rpoD genes in Hodgkinia from M. septendecim are evidently not accompanied by a correspondingly high level of antisense transcription (supplementary figs. S5 and S9, Supplementary Material online).

We also found that *Hodgkinia* transcript abundances in *D*. near *semicincta* were weakly correlated with their homologs' relative abundances in the single-lineage *Hodgkinia* of *T. ulnaria* in contrast to the strong correlation observed in the *Sulcia* transcriptomes of those cicadas. It is unclear to what extent host-specific losses in *Hodgkinia* transcriptional control may have contributed to this lack of conservation versus the 50+ million years of evolutionary divergence between these *Hodgkinia* lineages (Marshall et al. 2018; Wang et al. 2022b).

Gene Products May Be Spread Extremely Thin in Complex Hodgkinia

On one hand, the unresponsiveness of Hodgkinia transcription to extreme gene dosage outcomes is unsurprising given that Hodgkinia encodes no transcription factors or alternative sigma factors and has even accumulated functionally important losses to basic transcriptional machinery (McCutcheon et al. 2009b; Galán-Vásquez et al. 2016; Łukasik et al. 2018; Rangel-Chávez et al. 2021). Even in unsplit Hodgkinia lineages with uniform gene dosage, precise ratios of relative transcript abundance do not appear to be conserved. On the other hand, Hodgkinia's unresponsiveness is surprising because of what it implies about its biology, specifically its apparent tolerance for extreme unbalancing of essential transcripts' absolute abundance. In *M. septendecim*, where many genes may be present in fewer than ten percent of cells, we found no evidence for a generalized up-regulation of Hodgkinia transcription. In fact, Hodgkinia's relative contributions to DNA and RNA coverage in this system imply an overall reduced transcriptional activity.

While in situ hybridization has shown that rRNA and genomic DNA are not shared among cells in complex *Hodgkinia*, the endosymbiont's continued existence necessarily implies the movement of either mRNA, protein,

metabolites, or some combination of these between cells by an unknown mechanism (Campbell et al. 2015; Łukasik et al. 2018). The likelihood of a biologically important encounter between two *Hodgkinia* proteins could therefore be limited not just by the abundance of the genes by which they are encoded but also by those genes' spatial distribution within the cicada bacteriome. In the absence of massive complementation or overcompensation at the level of protein synthesis, it is conceivable that the biochemistry of the most complex *Hodgkinia* occurs slowly or inefficiently relative to their single-lineage counterparts.

Conclusions

The transcriptomes of cicadas' bacterial endosymbionts, like their genomes, embody two opposite extremes. Sulcia exhibits highly conserved transcript abundance ratios and patterns of RNA-seq coverage that line up with biological expectations. Hodgkinia, meanwhile, shows diminished transcriptional control and transcribes genes in proportion to their sometimes wildly imbalanced DNA abundance. In either case, it is difficult to guantify the fitness consequences of these transcriptional outcomes. We expect that at least some of the outcomes we observe in Hodgkinia, such as widespread antisense transcription in D. near semicincta and failure to compensate for massive gene dilution in *M. septendecim*, are costly. The magnitudes of these costs are dependent on translational compensatory changes—if any occur—and, in the latter case, gene product transport. Both of these processes have yet to be characterized in Hodgkinia. As with the reproductive burden cicadas experience in order to transmit a complete Hodgkinia gene complement to their eggs following extensive lineage splitting, we speculate that these events are costly for the symbiosis and may tip the scales in favor of Hodgkinia extinction and replacement with a new endosymbiont (Campbell et al. 2018; Matsuura et al. 2018; Wang et al. 2022b).

Materials and Methods

Insect Collection

Adult cicadas, a mixture of males and females, were collected in their natural habitat using insect nets and dissected in the field, with abdomens torn open and placed in 7 mL tubes with RNAlater. They were kept refrigerated initially, and, after arrival in the laboratory, stored at -8 °C until processing.

We preliminarily identified specimens based on morphological characters and later confirmed identifications using marker gene sequences. In the case of *Diceroprocta*, we collected multiple individuals that we could not distinguish based on morphology, but that represented two genotypes divergent by about 3% within the mitochondrial cytochrome C oxidase I (COI) gene, one of which matched the previously characterized *D. semicincta* (Van Leuven and McCutcheon 2012). Since all individuals represented the other COI genotype, we decided to refer to them as *D.* near *semicincta*.

An additional specimen of *O. oregona* collected previously was used for the assembly of its respective *Hodgkinia* and *Sulcia* genomes. This specimen was collected and dissected in the same manner, placed in 90% EtOH, and stored at -2 °C until processing.

Collection Details

Diceroprocta near *semicincta* (two males + female) University of Arizona campus, Tucson, AZ, USA, 32.23, -110.95, July 2017.

Tettigades ulnaria (three males) Side of the road near Putaendo, Valparaíso Region, Chile, -32.588, -70.715, January 2017.

Tettigades undata (three males) Side of the road to Termas de Chillan, Bio Bio Region, Chile, -36.903, -71.537, January 6, 2017.

Okanagana oregona (three males) Mt. Sentinel, Missoula, MT, USA, 46.86, -113.98, 30 Jun 2017.

Okanagana oregona (one male specimen used for *Hodgkinia* and *Sulcia* genome assemblies) Mt. Sentinel, Missoula, MT, USA, 46.86, -113.98, June 13, 2016.

Tettigades limbata (male + two females) Hills South of Sierra de Bellavista, O'Higgins Region, Chile, -34.826, -70.742, December 13, 2014.

Magicicada septendecim (three females) Washington, PA, USA, 40.171, -80.221, 2017.

DNA and RNA Extraction, Library Preparation, and Sequencing

DNA was extracted from carefully dissected bacteriome tissue using the Qiagen DNeasy Blood and Tissue kit (Hilden, Germany) except in the case of the *M. septendecim* samples. For these samples, DNA libraries were prepared from co-extracted DNA obtained during RNA isolation (see RNA work details below). Illumina libraries for all samples were prepared using the Illumina Truseq PCR-free kit (San Diego, CA, USA).

RNA was also extracted from each bacteriome tissue sample using the Qiagen RNeasy Mini kit (Hilden, Germany) according to the included protocol for animal tissue and then DNase-treated using the Invitrogen TURBO DNA-free kit (Waltham, MA, USA). Ribosomal RNA was depleted using the Ribo-Zero Epidemiology Kit from Illumina (San Diego, CA, USA) followed by cleanup with the RNeasy MinElute Cleanup Kit (Hilden, Germany).

The DNA and RNA libraries were sequenced in four batches across a total of six lanes on a HiSeq X instrument in 2×150 bp mode at Novogene (Sacramento, CA, USA).

One additional DNA library from an *O. oregona* specimen used for genome assembly of its endosymbionts was sequenced on a MiSeq v3 instrument in 2×300 bp mode.

Endosymbiont Genome Assemblies and Annotation

The genomes of Sulcia from T. ulnaria and T. limbata were assembled from previously published cicada bacteriome metagenomes deposited under BioProject accessions PRJNA246493 and PRJNA385844 (Van Leuven et al. 2014; Łukasik et al. 2018). These, as well as Sulcia and Hodgkinia genomes from D. near semicincta and O. oregona were assembled as follows: reads were trimmed of lowguality ends and adapters using Trimmomatic or Trim Galore! (Bolger et al. 2014) and then merged using Pear (Zhang et al. 2014) or bbmerge (Bushnell et al. 2017). Bacteriome metagenomes were initially assembled using custom installations of SPAdes 3.7.1, 3.11.0, or 3.12.0 (Prjibelski et al. 2020) which were compiled with an increased k-mer length limit of 249 bp. Scaffolds from this assembly were used for blastx searches against a custom database comprising the six frame-translated genomes of several Hodgkinia lineages and protein-coding genes from Sulcia, 12 other insect-associated and free-living bacteria, cicada mitochondria, and the planthopper Nilaparvata lugens. Quality-filtered reads were then remapped to scaffolds with top matches (evalue < 1e-10) to Hodgkinia and Sulcia references, respectively, using either qualimap (García-Alcalde et al. 2012) or bbmap (Bushnell 2014), and the mapped reads were used for final SPAdes assemblies. For Hodgkinia from O. oregona, polymerase chain reaction was used to close gaps and verify rRNA operon sequences.

Hodgkinia and Sulcia genomes were annotated using a custom pipeline described previously (Łukasik et al. 2018), including curated sets of reference genes extracted from published genomes and tRNA annotation using tRNAscan-SE v.1.23 (Chan and Lowe 2019).

DNA Coverage and Genome Abundance Analyses

Raw reads from the bacteriome metagenome sequencing libraries were inspected for quality using FastQC version 0.11.7 (https://www.bioinformatics.babraham.ac.uk/projects/fastqc/) and trimmed using Trim Galore! version 0.6.1 (https://www.bioinformatics.babraham.ac.uk/projects/trim_galore/) to remove Illumina adapters and low-quality bases (Phred scores <10) from read ends, retaining read pairs in which each read has a post-trimming length of at least 20 bp (Martin 2011).

For comparative analysis of *Hodgkinia* and *Sulcia* DNA coverage, BowTie 2 indexes were built from the *Hodgkinia* and *Sulcia* genomes of each cicada species using BowTie 2 version 2.4.1 (Langmead and Salzberg 2012). Trimmed DNA reads from each sample was aligned to the

corresponding indexes. This and all subsequent DNA and RNA alignments were carried out in BowTie 2's – very-sensitive mode, and this and all output alignment files were binary-compressed and sorted by chromosome position using SamTools version 1.12.0 (Li et al. 2009). MetaBAT adjusted coverage for contigs representing *Hodgkinia* and *Sulcia* was obtained using the jgi_summarize_bam_contig_depths function in MetaBAT2 (Kang et al. 2019). Using contig lengths and adjusted coverage values reads representing *Hodgkinia* and *Sulcia* coverage were calculated and converted to percentages of total processed reads for each library.

To determine the relative abundance of each *Hodgkinia* genome in cicadas hosting multiple *Hodgkinia* lineages, trimmed DNA reads from each sample were aligned to the corresponding *Hodgkinia* genomes, and the coverage proportions were calculated exactly as in the comparison of *Hodgkinia* and *Sulcia* coverage, this time giving the proportion of *Hodgkinia* DNA coverage contributed by each *Hodgkinia* genome hosted by a given cicada.

RNA Coverage Analyses and rRNA Sequence Removal

For visualization of strand-specific RNA-seq coverage of the Hodgkinia and Sulcia genomes, reads from the metatranscriptome sequencing libraries were quality checked and trimmed according to the same parameters as the DNA reads (see section "DNA Coverage and Genome Abundance Analyses"). Reads from each library were aligned separately to the appropriate Hodgkinia and Sulcia genomes. The RNA alignments were then separated according to the DNA strand from which the alignments originated. Briefly, reads which were the second in a pair and which aligned to the forward strand were written to a separate file (samtools view -f 128 -F 16). This was repeated for reads which were the first in a pair and aligned to the reverse strand (samtools view -f 80). These alignment files were combined (samtools merge), collectively representing RNA coverage of the minus strand of the corresponding genome(s). Alignments representing RNA coverage of the plus strands of these genomes were separated with a similar set of commands(samtoolsview-f144, samtoolsview-f64 -F16, samtools merge).

From these alignment files, per-base coverage values were obtained for each strand using the genomecov -d command in BEDTools v.2.24.0 (Quinlan and Hall 2010). Stranded per-base coverage values, along with annotation information extracted from the GFF annotation files using custom Python scripts were used to generate coverage plots with processing v.3.5.4 in Python mode. Custom Python and processing scripts can be accessed from the following GitHub repository: https://github.com/noahspencer/Supplement-for-Spencer2023. For final coverage plots shown in fig. 3 and supplementary figure S1, Supplementary Material online, these steps were repeated using alignment files randomly downsampled with SAMTools to achieve approximately 450X and 3500X coverage of *Hodgkinia* and *Sulcia* genomes of interest, respectively.

Since substantial rRNA sequence coverage was detected in some libraries, the processed reads were subject to bioinformatic rRNA depletion before determining transcript counts. Briefly, trimmed reads were mapped to all of the corresponding endosymbiont rRNA sequences. Mapped reads were removed from the resulting alignment files using SamTools (samtools view -f 4). Unmapped reads were converted back to paired-end FASTQ files using the SamToFastq function in Picard Toolkit v.2.23.7 (2020).

Transcript Abundance and Antisense Transcription Analyses

The rRNA-depleted reads were aligned to the corresponding Hodgkinia or Sulcia genome(s). Transcript counts for Hodgkinia and Sulcia genes were obtained using FADU, a drop-in replacement for transcript guantification tools like htseq-count that uses partial counts and expectation maximization algorithms to more accurately assign reads derived from polycistronic transcripts (as produced by operons) and gene-dense coding regions (Chung et al. 2021). FADU was run in -s "reverse" mode to accurately guantify these stranded RNA-seg data. A second run in -s "yes" mode was performed to quantify transcription on the opposite strand relative to annotated open reading frames (i.e., to quantify antisense transcription). Percent antisense transcription for each biological replicate was estimated as the total number of counts output by FADU is -s "yes" mode divided by the summed counts from both runs. The percentages reported represent averages across all biological replicates included for a given cicada species.

Counts output by FADU for putatively functional genes (excluding tRNA and rRNA genes) were converted to TPM (Wagner et al. 2012) using a custom Python script by Arkadiy Garber (https://github.com/Arkadiy-Garber/ BagOfTricks/blob/main/count-to-tpm.py). Statistical analysis of these TPM expression data was performed in R v.4.0.4. Semipartial correlation analysis of TPM expression data, relative gene dosage, and gene copy DNA abundance was performed using the R package ppcor v.1.1 (Kim 2015).

Genome Sequence-Based Analyses

Sequences spanning 50 bp upstream of start codons in (A) all protein-coding genes, (B) the 15 protein-coding genes with the highest average TPM, and (C) the 15 protein-coding genes with the lowest average TPM were extracted from the *Hodgkinia* and *Sulcia* genomes from *T. ulnaria* and

used to make six logo plots with WebLogo (Crooks et al. 2004).

Protein alignments of all copies of RpoD represented in our data, as well as RpoD from *Hodgkinia* in *D. semicincta* and from the free-living alphaproteobacterium *Methylobacterium oxalidis* (retrieved from NCBI, protein accessions ACT34206 and GEP04622.1, respectively) were performed using MUSCLE algorithm (Edgar 2004) implemented through the M-Coffee web server (Moretti et al. 2007) and then visualized using NCBI's Multiple Sequence Alignment Viewer v.1.2.0.

Supplementary Material

Supplementary data are available at *Genome Biology and Evolution* online (http://www.gbe.oxfordjournals.org/).

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Data Availability

All data described here are available from the NCBI Umbrella BioProject PRJNA386376. All cicada bacteriome metatranscritpome and metagenome sequencing libraries were deposited in the Sequence Read Archive (SRA) database under BioProject PRJNA923375. Newly generated genome assemblies for endosymbionts of D. near semicincta, T. ulnaria, T. limbata, and O. oregona, as well as the corresponding SRA experiments (containing the raw reads), available under **BioProjects** PRJNA923375, are PRJNA512238, PRJNA246493, PRJNA385844, and respectively.

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